

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen W. Montgomery et al.

Title: MANUFACTURING TECHNIQUES FOR ALIGNED CARBON NANOTUBE THERMAL INTERFACE MATERIALS

Docket No.: 884.569US1

Serial No.: 10/024057

Filed: December 17, 2001

Due Date: N/A

Examiner: Kishor Mayekar

Group Art Unit: 1753

Customer No.: 21186

Confirmation No.: 5312

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ An Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 8 cited documents.
- ☒ A check in the amount of \$180.00 to cover the fee for consideration of Information Disclosure Statement under 97(c).

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
Customer Number 21186

By: Charles E. Steffey
Atty: Charles E. Steffey
Reg. No. 25,179

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 21 day of December, 2003.

Chris Hammond
Name

Chris Hammond
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
(GENERAL)

Customer Number 21186



N 10/024057

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Stephen W. Montgomery et al.	Examiner:	Kishor Mayekar
Serial No.:	10/024057	Group Art Unit:	1753
Filed:	December 17, 2001	Docket:	884.569US1
Title:	MANUFACTURING TECHNIQUES FOR ALIGNED CARBON NANOTUBE THERMAL INTERFACE MATERIALS		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

01/02/2004 EHAILE1 00000104 10024057

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/024057

Filing Date: December 17, 2001

Title: MANUFACTURING TECHNIQUES FOR ALIGNED CARBON NANOTUBE THERMAL INTERFACE MATERIALS

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

STEPHEN W. MONTGOMERY ET AL.

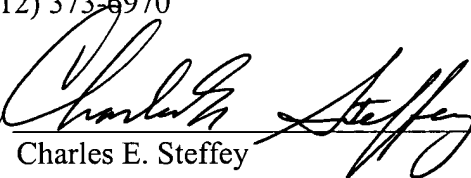
By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6970

Date

December 29, 2003

By



Charles E. Steffey

Reg. No. 25,179

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Name

Chris Hammond

Signature



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

DEC 31 2003



Sheet 1 of 1

Complete if Known

Application Number	10/024057
Filing Date	December 17, 2001
First Named Inventor	Montgomery, Stephen
Group Art Unit	1753
Examiner Name	Mayekar, Kishor

Attorney Docket No: 884.569US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-5,965,257	10/12/1999	Nolan, P. E., et al.	428	408	03/31/1998
	US-6,407,922	06/18/2002	Eckblad, M. Z., et al.	361	704	09/29/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-1054036	11/22/2000	Dupire, M., et al.	C08K	7/24	
	EP-1109218	06/20/2001	Tobita, M., et al.	H01L	23/373	
	WO-00/33628	06/08/2000	Brownell, M. P.	H05K	7/20	
	WO-01/30694	05/03/2001	Smalley, R. E., et al.	C01B	31/02	
	WO-01/92381	12/06/2001	Barrera, E. V., et al.	C08J	5/04	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ANDREWS, R., "Nanotube Composite Carbon Fibers", <u>Applied Physics Letters</u> , 75, (Aug. 30, 1999), 1329-1331	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached